SSM-491US1 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No:

To Be Assigned

Applicants:

Manfred Heinritz et al.

Filed:

Herewith

Title:

GRADIENT MATERIAL MOLDED BODY

TC/A.U.:

Not Yet Known

Examiner:

Not Yet Known

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No.10/008,664, filed November 7, 2001, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

SSM-491US1 **PATENT**

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Christopher R. Lewis, Reg. No. 36,20

Christopher M. Spletzer, Reg. No. 52,240

Attorneys for Applicants

CMS/kak

Enclosure: PTO Form 1449

Dated: April 19, 2004

P.O. Box 980

Valley Forge, PA 19482

(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

EXPRESS MAIL

Mailing Label Number:

Date of Deposit:

EV321471944US April 19, 2004

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that

the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen C. Libb

KAK_I:\SSM\491US1\IDS#1.DOC

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known		
Application Number	To Be Assigned	
Filing Date	Herewith	
First Named Inventor	Manfred Heinritz	
Art Unit	Not Yet Known	
Examiner Name	Not Yet Known	
Attorney Docket No.	SSM-491US1	

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-4,602,416	07/29/1986	Thome	Entire Document	
	_ 2	US-6,089,309	07/18/2000	Ge	Entire Document	
	3	US-6,095,957	08/01/2000	Ichino et al.	Entire Document	
	4	US-5,839,495	11/24/1998	Ichino et al.	Entire Document	
	5	US-4,990,410	02/05/1991	Saitoh et al.	Entire Document	
	6	US-4,900,635	02/13/1990	Bowen et al.	Entire Document	
	7	US-4,433,032	02/21/1984	Nakamura et al.	Entire Document	
	8	US-4,357,394	11/02/1982	Khandros	Entire Document	

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)		Passages or Relevant Figures Appear	ゼ
	9	EP 1 001 040	05/17/2000	Grunow	Entire Document	Γ
	10	WO 01/58622	08/16/2001	Holzgruber et al.	Entire Document	
						\Box
	<u> </u>					\Box
Examiner	1			Date		
Signature	1			Considered]	

Signature	Considered
*FXAMINER: Initial if reference considered, whether or not citation is in conformance with MDED 60	OR Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

^{*}For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for Form 1449B/PTO	Complete if Known			
	Application Number	To Be Assigned		
INFORMATION DISCLOSURE	Filing Date	Herewith		
STATEMENT BY APPLICANT	First Named Inventor	Manfred Heinritz		
(Use as many sheets as necessary)	Art Unit	Not Yet Known		
	Examiner Name	Not Yet Known		
SHEET 2 of 2	Attorney Docket No.	SSM-491US1		

	NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²		
	11	Klaus Schwerdtfeger et al., <i>Metallurgie des Stranggießens: Gießen und Erstarren von Stahl</i> (Düsseldorf: Verlag Stahleisen mbH, 1992), pp. 333-336.			
	12	Hans Schrewe, Stranggießen von Stahl: Einführung und Grundlagen (Düsseldorf: Verlag Stahleisen mbH, 1987), pp. 119-120.			
	13	Schumann, Hermann: "Metallographie," Veb 1 Deutscher Verlag Für Grunstoffindustrie, Leipzip, p. 231.			
	14	Abstract of Japanese Patent No. 59-73150.			
	15	European Search Report dated December 27, 2002, from European Application No. 01 12 5434.			
<u>-</u>					
Examiner	·	Date			

Examiner	Date	
Signature	Considered	

'Applicant's unique citation designation number (optional).

'Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).